



Association of Securities and  
Exchange Commission Alumni, Inc.

Cordially invites you to attend

The Twenty-eighth Annual ASECA Dinner

and

Presentation of the  
William O. Douglas Award

to

**James L. Kroeker**

Monday, March 30, 2020

## James L. Kroeker



James L. Kroeker served as the Chief Accountant of the Securities and Exchange Commission from January 2009 until his departure in 2012. In this capacity, Jim served as the senior accounting professional for the Commission and the principal advisor to the Commission on all accounting and auditing matters. In his capacity at the SEC, he was responsible for resolution of a wide range of globally significant accounting and auditing issues.

Since joining the Commission in February 2007, Jim played a key role in efforts to improve the transparency and reduce the complexity of financial disclosure. He served as staff director of the SEC's Congressionally mandated study of fair value accounting standards, and he has led the efforts of the Office of the Chief Accountant to address the economic crisis, including steps to improve off-balance sheet accounting guidelines. Jim also served as the Designated Federal Officer responsible for the staff oversight of the SEC's Advisory Committee on Improvements to Financial Reporting. He also was responsible for the day-to-day operations of the office, including resolution of accounting and auditing practice issues, rulemaking, and oversight of the FASB and PCAOB.

Mr. Kroeker was appointed a member and vice chairman of the Financial Accounting Standards Board (FASB) on September 1, 2013. In the latter role, he assists the FASB chairman in representing the Board to external stakeholders and in conducting its internal operations, in addition to serving as a voting member of the Board.

Mr. Kroeker joined the FASB from Deloitte, where he served as the Deputy Managing Partner for Professional Practice. Prior to joining the SEC, Jim was a partner at Deloitte in the firm's Professional Practice Network. Mr. Kroeker was Deloitte & Touche's representative on the AICPA Accounting Standards Executive Committee (AcSEC) and served as a Practice Fellow at the Financial Accounting Standards Board.

Mr. Kroeker received a Bachelor of Science degree with an emphasis in accounting from the University of Nebraska in May 1992.

Where: **The Ronald Reagan Building and  
International Trade Center  
1300 Pennsylvania Avenue NW  
Washington, DC 20004**

*Concourse Level*

When: **Monday, March 30, 2020**

Program: **5:30 p.m. Reception – Ballroom  
7:00 p.m. Dinner – Atrium**

Attire: **Business Attire**

RSVP: **RSVP card enclosed**

For more information call:

Deborah Keeler

ASECA Executive Director

202-735-7168

Or reserve online at [www.secalumni.org](http://www.secalumni.org)

Presented in conjunction with PLI's "SEC Speaks." For information or to register for the PLI program, call 800-260-4PLI or visit [www.pli.edu](http://www.pli.edu).

### **ASECA Officers**

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Founded in 1990, the Association of Securities and Exchange Commission Alumni (ASECA) is a non-profit organization that fosters the shared history and unique camaraderie between SEC alumni globally. ASECA provides its members with the opportunity to (i) network and share information with fellow SEC alumni, (ii) support its robust scholarship program to further the education and growth of industry professionals, and (iii) recognize SEC alumni that have contributed to the development of the federal securities laws and/or served the financial and SEC community with distinction through ASECA's annual William O. Douglas award.

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